

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

-----x  
In re

LEHMAN BROTHERS HOLDINGS INC., *et al.*,  
Debtors.

:  
: Chapter 11 Case No.  
:

: 08-13555 (SCC)  
: (Jointly Administered)  
:

-----x  
Ref. Docket Nos. 47180, 47181,  
47183, 47184, 47342, 47343, 47354,  
47386, 47390, 47431-47433, 47563,  
47593, 47594, 47604-47615, 47618,  
47619, 47622-47627

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On January 6, 2015, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez  
Lauren Rodriguez

Sworn to before me this  
14<sup>th</sup> day of January, 2015

/s/ Sidney J. Garabato

Notary Public, State of New York  
No. 01GA6218946  
Qualified in New York County  
Commission Expires March 15, 2018

**EXHIBIT A**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: ALDEN GLOBAL VALUE RECOVERY MASTER FUND LP  
TRANSFEROR: RIVERROCK SECURITIES LIMITED  
C/O ALDEN GLOBAL CAPITAL, LLC  
ATTN: ITHRAN OLIVACCE  
885 THIRD AVENUE, 34TH FLOOR  
NEW YORK NY 10022

Please note that your claim # 51320-09 in the above referenced case and in the amount of  
\$532,715.63 allowed at \$498,433.31 has been transferred (unless previously expunged by court order)

GOLDMAN SACHS & CO.  
TRANSFEROR: ALDEN GLOBAL VALUE RECOVERY MASTER FUND LP  
ATTN: MICHELLE LATZONI  
200 WEST STREET  
NEW YORK NY 10282

No action is required if you do not object to the transfer of your claim. However, **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 47431 in your objection.  
If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 01/06/2015

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on January 6, 2015.

**EXHIBIT B**

TIME: 10:00:24  
DATE: 01/06/15

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 1

Name	Address
ALDEN GLOBAL VALUE RECOVERY MASTER FUND LP	TRANSFEROR: RIVERROCK SECURITIES LIMITED C/O ALDEN GLOBAL CAPITAL, LLC ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022
ALDEN GLOBAL VALUE RECOVERY MASTER FUND, L.P.	TRANSFEROR: ALDEN GLOBAL HEDGED OPPORTUNITIES MASTER FUND LP C/O ALDEN GLOBAL CAPITAL ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022
ALDEN GLOBAL VALUE RECOVERY MASTER FUND, L.P.	TRANSFEROR: J.P. MORGAN SECURITIES PLC C/O ALDEN GLOBAL CAPITAL ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022
ALDEN GLOBAL VALUE RECOVERY MASTER FUND, L.P.	TRANSFEROR: JPMORGAN CHASE BANK, NA C/O ALDEN GLOBAL CAPITAL ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022
ALDEN GLOBAL VALUE RECOVERY MASTER FUND, L.P.	TRANSFEROR: SEA PORT GROUP SECURITIES, LLC C/O ALDEN GLOBAL CAPITAL ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022
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ARES VIR CLO LTD.	MARK BROUDE LATHAM & WATKINS LLP 885 THIRD AVE 3RD FLOOR NEW YORK NY 10022
ARES VIR CLO LTD.	MARK BROUDE LATHAM & WATKINS LLP 885 THIRD AVE. 3RD FLOOR NEW YORK NY 10022
BANC OF AMERICA CREDIT PRODUCTS, INC.	TRANSFEROR: TSVE CAPITAL LLC C/O BANK OF AMERICA MERRILL LYNCH ATTN: ANTE JAKIC/ GARY S. COHEN/ RYAN WEDDLE BANK OF AMERICA TOWER - 3RD FLOOR ONE BRYANT PARK NEW YORK NY 10036
BANCA MEDIOLANUM S.P.A.	ATTN: HEAD OF OPERATIONS CONTROL UNIT VIA F. SFORZA, 15 BASIGLIO ( MILANO ) 20080 ITALY
BANCA MONTE DEI PASCHI DI SIENA S.P.A.	ATTN: GIANLUCA SERRA PIAZZA SALIMENI, 3 SIENA 53100 ITALY
BANK J. SAFRA SARASIN LTD	TRANSFEROR: CREDIT SUISSE C/O BAR & KARRER AG ATTN: PETER HSU BRANDSCHENKESTRASSE 90 ZURICH CH-8027 SWITZERLAND
BANK J. SAFRA SARASIN LTD	TRANSFEROR: CREDIT SUISSE ATTN: PATRIK ROOS BAHNOFSTRASSE 36 ZURICH CH-8010 SWITZERLAND
BANK JULIUS BAER & CO. LTD.	TRANSFEROR: CREDIT SUISSE AG ATTN: PATRIK ROOS BAHNOFSTRASSE 36 ZURICH CH-8010 SWITZERLAND
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BARCLAYS BANK PLC	ATTN: JEFF LONGMUIR BARCLAYS BANK PLC 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019
BARCLAYS BANK PLC	TRANSFEROR: SEMPER CONSTANTIA PRIVATEBANK AG ATTN: DANIEL MIRANDA 745 SEVENTH AVENUE, 2ND FLOOR NEW YORK NY 10019
CASPAN SC HOLDINGS L.P.	TRANSFEROR: MARINER LDC C/O CASPIAN CAPITAL LP 767 FIFTH AVENUE, 45TH FLOOR NEW YORK NY 10153
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CREDIT SUISSE	RICHARD LEVIN, ESQ. CRAVATH, SWAINE & MOORE LLP 825 8TH AVENUE NEW YORK NY 10019
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DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: HALCYON LOAN TRADING FUND LLC C/O DEUTSCHE BANK SECURITIES INC. ATTN: JEFFREY OLINSKY 60 WALL STREET NEW YORK NY 10005
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GOLDMAN SACHS & CO.	TRANSFEROR: P MONARCH RECOVERY LTD. ATTN: SIMON GLENNIE WINCHESTER HOUSE, 1 GREAT WINCHESTER STREET LONDON EC2N 2DB UNITED KINGDOM
GOLDMAN SACHS & CO.	TRANSFEROR: ALDEN GLOBAL VALUE RECOVERY MASTER FUND LP ATTN: MICHELLE LATZONI 200 WEST STREET NEW YORK NY 10282
HALCYON LOAN TRADING FUND LLC	TRANSFEROR: ALDEN GLOBAL VALUE RECOVERY MASTER FUND, L.P. ATTN: MICHELLE LATZONI 200 WEST STREET NEW YORK NY 10282
HALCYON LOAN TRADING FUND LLC	TRANSFEROR: TURNPIKE LIMITED ATTN: MICHELLE LATZONI 200 WEST STREET NEW YORK NY 10282
HALCYON LOAN TRADING FUND LLC	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH C/O HALCYON ASSET MANAGEMENT LLC ATTN: MATT SELTZER 477 MADISON AVENUE, 8TH FLOOR NEW YORK NY 10022
HALCYON LOAN TRADING FUND LLC	TRANSFEROR: JPMORGAN CHASE BANK N.A. C/O HALCYON ASSET MANAGEMENT LLC - MATT SELTZER 477 MADISON AVENUE, 8TH FLOOR NEW YORK NY 10022

EPIQ BANKRUPTCY SOLUTIONS, LLC

Name	Address
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ICCREA BANCA S.P.A.	ORRICK, HERRINGTON & SUTCLIFFE LLP ATTN: ALYSSA D. ENGLUND, ESQ. 666 FIFTH AVENUE NEW YORK NY 10103
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ILLIQUIDX LLP	TRANSFEROR: ICCEA BANCA S.P.A. ATTN: MR. CELESTINO AMORE 80 FLEET STREET LONDON EC4Y 1EL UNITED KINGDOM
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MACQUARIE BANK LIMITED	ATTN: ROBERT SCHEININGER AND ANDREW P. PROPPS SIDLEY AUSTIN LLP 787 SEVENTH AVENUE NEW YORK NY 10019
MACQUARIE BANK LIMITED	TRANSFEROR: RAPAX OC MASTER FUND, LTD. ATTN: SHAUN GEMBALA 125 WEST 55TH STREET NEW YORK NY 10019
MACQUARIE BANK LIMITED	TRANSFEROR: SERENGETI OPPORTUNITIES MM L.P. ATTN: SHAUN GEMBALA 125 WEST 55TH STREET NEW YORK NY 10019
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MACQUARIE BANK LIMITED	TRANSFEROR: STRATEGIC VALUE SPECIAL SITUATIONS MASTER FUND II., L.P. ATTN: SHAUN GEMBALA 125 WEST 55TH STREET NEW YORK NY 10019
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MONARCH OPPORTUNITIES MASTER FUND LTD.	TRANSFEROR: CREDIT SUISSE SECURITIES (USA) LLC C/O MONARCH ALTERNATIVE CAPITAL LP ATTN: MICHAEL GILLIN 535 MADISON AVENUE, FLOOR 26
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P MONARCH RECOVERY LTD.	NEW YORK NY 10022
RAPAX OC MASTER FUND, LTD.	TRANSFEROR: CREDIT SUISSE SECURITIES (USA) LLC C/O MONARCH ALTERNATIVE CAPITAL LP ATTN: MICHAEL GILLIN 535 MADISON AVENUE, FLOOR 26
SEMPER CONSTANTIA PRIVATBANK AG	NEW YORK NY 10022
SERENGETI OPPORTUNITIES MM L.P.	TRANSFEROR: JPMORGAN CHASE BANK, N.A. C/O MONARCH ALTERNATIVE CAPITAL LP 535 MADISON AVENUE FLOOR 26 ATTN: MICHAEL GILLIN
SERENGETI OPPORTUNITIES MM L.P.	NEW YORK NY 10022
SERENGETI OPPORTUNITIES MM L.P.	TRANSFEROR: SERENGETI OVERSEAS MM L.P. C/O SERENGETI ASSET MANAGEMENT LP ATTN: ERIN FINEGAN 632 BROADWAY, 12TH FLOOR
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STICHTING THE IAMEX VALUE FOUNDATION	TRANSFEROR: HYPOSWISS PRIVATBANK AG KEIZERSGRACHT 268 1016 AMSTERDAM NETHERLANDS
STICHTING THE IAMEX VALUE FOUNDATION	TRANSFEROR: LUZERNER KANTONALBANK AG KEIZERSGRACHT 268 1016 AMSTERDAM NETHERLANDS
STICHTING THE IAMEX VALUE FOUNDATION	TRANSFEROR: ST. GALLER KANTONALBANK AG KEIZERSGRACHT 268 1016 AMSTERDAM NETHERLANDS
STRATEGIC VALUE MASTER FUND, LTD.	TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS LLC C/O STRATEGIC VALUE PARTNERS LLC ATTN: MARC SILEO 100 WEST PUTNAM AVENUE GREENWICH CT 06830

EPIQ BANKRUPTCY SOLUTIONS, LLC

TIME: 10:00:24  
DATE: 01/06/15

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 3

Name	Address
STRATEGIC VALUE SPECIAL SITUATIONS	TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS LLC C/O STRATEGIC VALUE PARTNERS LLC ATTN: MARC SILEO 100 WEST PUTNAM AVENUE GREENWICH CT 06830
MASTER FUND II., L.P.	TRANSFEROR: GOLDMAN SACHS LENDING PARTNERS LLC C/O STRATEGIC VALUE PARTNERS LLC ATTN: MARC SILEO 100 WEST PUTNAM AVENUE GREENWICH CT 06830
STRATEGIC VALUE SPECIAL SITUATIONS	TRANSFEROR: CITIGROUP FINANCIAL PRODUCTS INC. ANDREWS KURTH LLP ATTN: DAVID HOYT 450 LEXINGTON AVENUE, 15TH FLOOR NEW YORK NY 10017
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